UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

Moshe Friedman				
(In the engage above enter the full name(s) of the plaintiff(s)				

-against-

Columbia University

David Brenner, John Doe, Lisa Hogarty, Lucinda Durning, et. al.

(In the space above enter the full name(s) of the defendant(s). If you cannot fit the names of all of the defendants in the space provided, please write "see attached" in the space above and attach an additional sheet of paper with the full list of names. Typically, the company or organization named in your charge to the Equal Employment Opportunity Commission should be named as a defendant. Addresses should not be included here.)



Jury Trial: X Yes □ No

(check one)

This action is brought for discrimination in employment pursuant to: (check only those that apply)



Title VII of the Civil Rights Act of 1964, as codified, 42 U.S.C. §§ 2000e to 2000e-17 (race, color, gender, religion, national origin).

NOTE: In order to bring suit in federal district court under Title VII, you must first obtain a Notice of Right to Sue Letter from the Equal Employment Opportunity Commission.



Age Discrimination in Employment Act of 1967, as codified, 29 U.S.C. §§ 621 - 634.

NOTE: In order to bring suit in federal district court under the Age Discrimination in Employment Act, you must first file a charge with the Equal Employment Opportunity Commission.

Americans with Disabilities Act of 1990, as codified, 42 U.S.C. §§ 12112 - 12117.

NOTE: In order to bring suit in federal district court under the Americans with Disabilities Act, you must first obtain a Notice of Right to Sue Letter from the Equal Employment Opportunity Commission.

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New York State Human Rights Law, N.Y. Exec. Law §§ 290 to 297 (age, race, creed, color, national origin, sexual orientation, military status, sex, disability, predisposing genetic chacteristics, marital status).



New York City Human Rights Law, N.Y. City Admin. Code §§ 8-101 to 131 (actual or perceived age, race, creed, color, national origin, gender, disability, marital status, partnership status, sexual orientation, alienage, citizenship status).

ı.	Parties in this complaint:				
Δ	List your name address and telephone number	Do the same for any addition			

A.		our name, address and additional sheets of pa	telephone number. Do the same for any additional plaintiffs named. per as necessary.
Plainti	ff	Name	Moshe Friedman
	Street Address		58 Laurel Ave / P.O.B. 302
		County, City	Sullivan County, South Fallsburg
		State & Zip Code	NY, 12779
	1	Telephone Number _	845-434-1080
В.	defend	defendants' names and ant(s) listed below are ider as necessary.	I the address where each defendant may be served. Make sure that the dentical to those contained in the above caption. Attach additional sheets
Defen	dant	Name	Columbia University (Office of General Counsel)
		Street Address	F3F Wort 116th Stroot
		County, City	New York
		State & Zip Code	NY 10027
		Telephone Number	212-845-5669
C.		Employer Street Address County, City State & Zip Code Telephone Number	212 051 0662
II.	Stater	nent of Claim:	
discrir to sup in the	ninated a port thos events g , numbe	gainst. If you are pursi e claims. You may wis iving rise to your clain	your case, including relevant dates and events. Describe how you were using claims under other federal or state statutes, you should include facts to include further details such as the names of other persons involved as. Do not cite any cases. If you intend to allege a number of related claim in a separate paragraph. Attach additional sheets of paper as
A. Th	ne discrii	minatory conduct of wh	nich I complain in this action includes: (check only those that apply)
		Failure to hir	e me.
	\times	Termination	of my employment.
		Failure to pro	omote me.
		Failure to acc	commodate my disability.

Unequal terms and conditions of my employment.

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Rev. 05/2010

	$_{\times}$		Retalia	tion.							
	$_{\times}$		Other a	icts (sp	ecify): Confisc	ation of my	propert	y, includin	g private	compute	data .
	Note:	Comm	those gro ission ca nination	ın be c	raised in the considered by s.	charge fi the federa	led with l distric	the Equ t court u	al Empl ider the	oyment (federal (Opportunity employment
В.	It is my	y best r	ecollectio	n that	the alleged dis	criminato	y acts o	ccurred o	n: Startin		7/2010
										Date(s)	
C.		ve that o	defendant	t(s) (ch	eck one):						
	X		is still	commi	tting these acts	s against n	ie.				
			is not s	till cor	nmitting these	acts again	st me.				
D.	Defend	iant(s) o	liscrimin	ated ag	ainst me based	d on my (c	heck on	ly those th	at apply	and exp	lain):
			race		<u> </u>	···		color			
		XI	gender	/sex	Male	-	X	religion	Jewish		
			nationa	ıl origi	n	····					
		☑	age.	My d if you	ate of birth is are asserting	07/12/195 a claim oj	2 fage dis	 criminatio	(Give yon.)	our date e	of birth only
			disabil	ity or p	erceived disab	oility,					_ (specify)
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E. In Janu					ollow <i>(attach a</i> Medical Center					en	
					eorganization v						out
					ulatory Agency						
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				 	other terminati						
	Note:	your o	charge fi	led wit	for the facts of the Equal Exiging the New York the New Y	nployment	Opport	unity Con	ımission	, the Nev	
III.	Exha	ustion	of Fede	ral Ad	lministrative	Remedie	es:				
Α.	It is m my Eq on:	y best r qual Em	ecollection ploymen	n that t Oppo	I filed a charge rtunity counse	with the l lor regard	Equal Er ing defe eptembe	nploymen ndant's al r 13, 2012	t Opport leged di	unity Co scriminat (Date	ory conduct

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В.	The Equal Employment Opportunity Commission (check one):								
		ued a Notice of Righ otice of Right to Sue	nt to Sue letter. e letter, which I received on Sept. 20, 2012 (Date).						
	Note: Attach a copy of t Commission to this		to Sue letter from the Equal Employment Opportunity						
C.	Only litigants alleging age discrimination must answer this Question.								
	Since filing my charge of regarding defendant's alleg	age discrimination vged discriminatory c	with the Equal Employment Opportunity Commission onduct (check one):						
	60 days or more have elapsed.								
		0 days have elapsed							
IV.	Relief:								
dama	ges, and costs, as follows:		elief as may be appropriate, including injunctive orders, suffering, punitive damages, return of my personal						
posses	sions including computer data	or full compensation,	attorney fees, letter of apology and reinstatement.						
•	ribe relief sought, including of the relief sought, including the relief sought, including the relief to the relief the relief to the relief t		if any, and the basis for such relief.)						
	d this 18 day of December	, 20 <mark>12</mark> .	Mrs he Sievel and						
	•	gnature of Plaintiff	68 22 20 Aug P.O.B 302						
	Ac	ldress	CONTRACTOR OF THE CONTRACTOR O						
			South Fallsburg, NY 12779						
	Τε	elephone Number	845-434-1080						
	Fa	x Number (if you ho	ave one)						

EEOC Form 161 (11/09)

U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

DISMISSAL AND NOTICE OF RIGHTS

P.O.	Moshe Friedman P.O. Box 302 South Fallsburg, NY 12779 South Fallsburg, NY 12779 From: New York District Office 33 Whitehall Street 5th Floor New York, NY 10004			e	
	On behalf of person(s) aggrie CONFIDENTIAL (29 CFR §1	·		in the second se	
EEOC Charg	e No. EEOC R	epresentative	T	elephone No.	
•		3. Douglass,			
520-2012-		visory Investigator		212) 336-3665	
THE EEO	C IS CLOSING ITS FILE ON THIS				
Ш	The facts alleged in the charge fail to	state a claim under any of the	e statutes enforced by the EEO	C.	
	Your allegations did not involve a dis	ability as defined by the Ameri	cans With Disabilities Act.	•	
	The Respondent employs less than t	the required number of employ	ees or is not otherwise covered	d by the statutes.	
X	Your charge was not timely filed discrimination to file your charge	with EEOC; in other words,	you waited too long after th	e date(s) of the alleged	
	The EEOC issues the following definition information obtained establishes vio the statutes. No finding is made as t	lations of the statutes. This de	oes not certify that the respond	dent is in compliance with	
	The EEOC has adopted the findings	of the state or local fair employ	yment practices agency that inv	vestigated this charge.	
	Other (briefly state)				
		- NOTICE OF SUIT RIGI the additional information attached			
Discrimina You may fil lawsuit mu	te Americans with Disabilities Action in Employment Act: This will be a lawsuit against the respondent st be filed WITHIN 90 DAYS of your limit for filing suit based on a clime limit for filing suit based on a clime.	II be the only notice of dism t(s) under federal law based our receipt of this notice;	issal and of your right to su d on this charge in federal o or your right to sue based o	e that we will send you. r state court. Your	
alleged EP	Act (EPA): EPA suits must be filed underpayment. This means that file suit may not be collectible.				
		On behalf of the Co	mmission	C 773 B & D 75	
	Va.	a be	am	SEP 2 0 2012	
Enclosures(s)	Kevin J. Berry, District Director		(Date Mailed)	
As C0 41 Ma	onna Fenn ssociate General Counsel DLUMBIA UNIVERSITY 2 Low Memorial Library ail Code: 4308 w York, NY 10027				

520-2012-03596

U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION INTAKE QUESTIONNAIRE

Please immediately complete this entire form and return it to the U.S. Equal Employment Opportunity Commission ("EEOC"). REMEMBER, a charge of employment discrimination must be filed within the time limits imposed by law, within 180 days or in some places within 300 days of the alleged discrimination. When we receive this form, we will review it to determine EEOC coverage. Answer all questions completely, and attach additional pages if needed to complete your responses. If you do not know the answer to a question, answer by stating "not known." If a question is not applicable, write "N/A." (PLEASE PRINT)

1. Personal Information		. A 1		
		Moshe	MI: _	<u> </u>
Street or Mailing Address P.O. Bo.	x 302	Ap	t or Unit #:	
City: South Fallsburg	County: Sollin	State: N	Zip: 12	<u> 179 </u>
Phone Numbers: Home: (845) 43	4-1080	Work: ()		<u>,</u>
Cell: ()	Email Address:	MOSHE 41	9 B gillari	1.com
Date of Birth: 7/12/52	Sex: Male □ Fe	male Do You Have	e a Disability? 🛘 Y	es 🔀 No
Please answer each of the next three qu	iestions. i. Are you l	Hispanic or Latino?	es 🗷 No	
ii. What is your Race? Please choose a	ill that apply. Ameri	ican Indian or Alaskan Na	itive □ Asian □	White
		merican Native Haw	aiian or Other Pacif	îc Islander
iii. What is your National Origin (country	of origin or ancestry)	Jewish		
Please Provide The Name Of A Person	We Can Contact If V	Ve Are Unable To Reach	You:	
Name:				
Address:	City:	State:	Zip Code:	
Home Phone: ()	Other Pho	ne: ()		
2. I believe that I was discriminated ag	gainst by the following	organization(s): (Chec	k those that apply)	
Employer 🗆 Union 🗆 Employi	-			
Organization Contact Information (If the work from home, check here and provint involved, attach additional sheets.) Organization Name: Address: 650 West 168	ide the address of the o	ffice to which you reported	ed.) If more than on	ally worked. If you the employer is
City: <u>New Yor</u> R	State: Zip: 100	25 2 Phone: ()_		
Type of Business: Medical Center	Job Location if differe	nt from Org. Address:		
Human Resources Director or Owner Nat	me: Vice Pres, Low	Bellardine	Phone: (213) 8	351-0623
Number of Employees in the Organiza				
☐ Fewer Than 15 ☐ 15 - 100 ☐	□ 101 – 200 □ 201	- 500 More than	500	s
3. Your Employment Data (Complete a Date Hired: 1997 Pay Rate When Hired: 46.7	Job Title At Hire:	Text of Sector	tary	/year
Job Title at Time of Alleged Discriminati Name and Title of Immediate Supervisor	ion: Office Adm Salver Los	uvistont Date Quit/Disc Usev Divector Ru	harged: Feb I	2010, 08400

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If Job Applicant, Date You Applied for Job Local Job Title Applied For
4. What is the reason (basis) for your claim of employment discrimination?
FOR EXAMPLE, if you feel that you were treated worse than someone else because of race, you should check the box next to Race. If you feel you were treated worse for several reasons, such as your sex, religion and national origin, you should check all that apply. If you complained about discrimination, participated in someone else's complaint, or filed a charge of discrimination, and a negative action was threatened or taken, you should check the box next to Retaliation.
Race Sex Mage Disability National Origin Religion Description Desc
If you checked color, religion or national origin, please specify: Jewish, Orthodox Chasidic Religious Practic
If you checked genetic information, how did the employer obtain the genetic information?
Other reason (basis) for discrimination (Explain):
5. What happened to you that you believe was discriminatory? Include the date(s) of harm, the action(s), and the name(s) and title(s) of the person(s) who you believe discriminated against you. Please attach additional pages if needed. (Example: 10/02/06 – Discharged by Mr. John Soto, Production Supervisor)
A. Date: Action: See Attached Document
Name and Title of Person(s) Responsible:
B. Date: Action:
Name and Title of Person(s) Responsible
6. Why do you believe these actions were discriminatory? Please attach additional pages if needed. See Attached Document
7. What reason(s) were given to you for the acts you consider discriminatory? By whom? His or Her Job Title? See Attached Document
8. Describe who was in the same or similar situation as you and how they were treated. For example, who else applied for the same job you did, who else had the same attendance record, or who else had the same performance? Provide the race, sex, age, national origin, religion, or disability of these individuals, if known, and if it relates to your claim of discrimination. For example, if your complaint alleges race discrimination, provide the race of each person; if it alleges sex discrimination, provide the sex of each person; and so on. Use additional sheets if needed.
Of the persons in the same or similar situation as you, who was treated better than you? Full Name Race, sex, age, national origin, religion or disability Job Title Description of Treatment
A. See Attached Document
B
•

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Of the persons in the sa Full Name	ame or similar	situation as you, who was treated , national origin, religion or disabili	worse than you? tv Job Title	Description of Treatment
A. Jee	Ato	ached.		
В	,			
Full Name	Race, sex, age	situation as you, who was treated , national origin, religion or disabili	ty Job Title	Description of Treatment
В				
Answer questions 9-12 if you have more than 9. Please check all tha	one disability.	claiming discrimination based or Please add additional pages if nee ☐ Yes, I have a disability ☐ I do not have a disability nov ☐ No disability but the organiza	eded.	N/A
10. What is the disabil prevent or limit you fr	lity that you be om doing anyth	lieve is the reason for the adverse ling? (e.g., lifting, sleeping, breath	action taken against y	ou? Does this disability
☐ Yes ☐ No		uipment or anything else to lessen		oms of your disability?
12. Did you ask your e	employer for an	y changes or assistance to do you	r job because of your	disability?
If "Yes," when did you	ask?	How did you ask (verball	y or in writing)?	
Who did you ask? (Pro	vide full name a	nd job title of person)		
Describe the changes or	,	you asked for:		;
		request?		

13. Are there any witnesses to the alleged discriminatory incidents? If yes, please identify them below and tell us what they will say. (Please attach additional pages if needed to complete your response)
Full Name Job Title Address & Phone Number What do you believe this person will tell us? A. See Attached.
B
14. Have you filed a charge previously on this matter with the EEOC or another agency? ☐ Yes ☐ No
15. If you filed a complaint with another agency, provide the name of agency and the date of filing: New York State Division of Hungan Rights of 1/7/11 They did not to Investigate my Complaint 16. Have you sought help about this situation from a union, an attorney, or any other source? Eyes \(\sigma \) No
Provide name of organization, name of person you spoke with and date of contact. Results, if any?
Results were inconclusive
Please check one of the boxes below to tell us what you would like us to do with the information you are providing on this questionnaire. If you would like to file a charge of job discrimination, you must do so either within 180 days from the day you knew about the discrimination, or within 300 days from the day you knew about the discrimination if the employer is located in a place where a state or local government agency enforces laws similar to the EEOC's laws. If you do not file a charge of discrimination within the time limits, you will lose your rights. If you would like more information before filing a charge or you have concerns about EEOC's notifying the employer, union, or employment agency about your charge, you may wish to check Box 1. If you want to file a charge, you should check Box 2.
BOX 1 I want to talk to an EEOC employee before deciding whether to file a charge. I understand that by checking this box, I have not filed a charge with the EEOC. I also understand that I could lose my rights if I do not file a charge in time.
BOX 2 I want to file a charge of discrimination, and I authorize the EEOC to look into the discrimination I described above. I understand that the EEOC must give the employer, union, or employment agency that I accuse of discrimination information about the charge, including my name. I also understand that the EEOC can only accept charges of job discrimination based on race, color, religion, sex, national origin, disability, age, genetic information, or retaliation for opposing discrimination.
Moch Jerlin 9/13/12 Signature Today's Date

PRIVACY ACT STATEMENT: This form is covered by the Privacy Act of 1974: Public Law 93-579. Authority for requesting personal data and the uses thereof are:

1) FORM NUMBER/TITLE/DATE. EEOC Intake Questionnaire (9/20/08). 2) AUTHORITY. 42 USC § 2000e-5(b), 29 USC § 211, 29 USC § 626, 42 USC §12117(a), 42 USC §2000ff-6.

3) PRINCIPAL PURPOSE. The purpose of this questionnaire is to solicit information about claims of employment discrimination, determine whether the EEOC has jurisdiction over those claims, and provide charge filing counseling, as appropriate. Consistent with 29 CFR 1601.12(b) and 29 CFR 1626.8(c), this questionnaire may serve as a charge if it meets the elements of a charge. 4) ROUTINE USES. EEOC may disclose information from this form to other state, local and federal agencies as appropriate or necessary to carry out the Commission's functions, or if EEOC becomes aware of a civil or criminal law violation. EEOC may also disclose information to respondents in litigation, to congressional offices in response to inquiries from parties to the charge, to disciplinary committees investigating complaints against attorneys representing the parties to the charge, or to federal agencies inquiring about hiring or security clearance matters.
5) WHETHER DISCLOSURE IS MANDATORY OR VOLUNTARY AND EFFECT ON INDIVIDUAL FOR NOT PROVIDING INFORMATION. Providing this information is voluntary but the failure to do so may hamper the Commission's investigation of a charge. It is not mandatory that this form be used to provide the requested information.

November 2009

US EEOC Complaint Form - Supplement for Complaint of Moshe Friedman

Employer: Columbia University Medical Center Radiation Safety Office

Terminated: Moshe Friedman – Office Administrator – 57 years old

Also Terminated: Salmen Loksen – Director & Radiation Safety Officer – 61 years old

Bruce Emmer – Physicist – 65 years old

My claim of employment discrimination is based on my religion, national origin, age and gender. I am an Orthodox Chassidic Jewish male, easily identified as such by my wearing of a Yarmulka and my beard, and my taking vacation time off on Jewish religious holidays, and at the time of my layoff-termination I was 57 years old.

The following Supplements the Intake Questionnaire where there was not sufficient space to provide the answers.

<u>Intake Questionnaire - Item 5</u>. What happened that you think is discriminatory?

On Thursday, January 7, 2010, Moshe Friedman, Office Administrator, was called to meet with Lisa Hogarty, COO of Columbia University Medical Center, who gave me notice of my impending termination on February 1, 2010.

Also laid off in this action was Salmen Loksen, CHP, DABR, who was informed that he was being laid off from his position as Director and Radiation Safety Officer of the Radiation Safety Office of Columbia University Medical Center (CUMC)/New York Presbyterian Hospital-Columbia Center (NYPH)/New York State Psychiatric Institute (NYSPI).

On Sunday, January 10, 2010, Bruce Emmer, DABR, DABMP, for many years employed as a Physicist for one day/ten hrs. per week in the Radiation Safety Office, arrived for work but was not allowed to enter the CUMC premises, and his ID badge was confiscated. In the next few weeks he was not allowed to return to work, and on February 18, 2010 he received by FedEx a notice of his termination.

The remaining fourteen (14) regular employees in the Radiation Safety Office of CUMC were not selected for layoff. Four (4) casual employees were also not affected by the layoff action. None of the about twenty-thirty (20-30) employees of the Environmental Health and Safety Office and the Radiation Safety Office of the Columbia University Morningside Campus, with which the CUMC Radiation Safety Office was merged, were laid off or negatively impacted by the layoff action. All three employees affected by the layoff, Salmen Loksen, Moshe Friedman, and Bruce Emmer, are practicing Orthodox Jews, with *yarmulkas* and beards, ranging in age from 57 to 65.

Details of Initial Layoff Actions:

On Wednesday, January 6, 2010 an email was received from an assistant of Lisa Hogarty, COO of Columbia University Medical Center, inviting Salmen Loksen and Moshe Friedman, who were CC'ed together, to a meeting next day at 11:30 a.m., to discuss "Update of Radiation Safety Office." No alternative dates or times would be available. Salmen Loksen and Moshe Friedman responded that they would attend the meeting.

The meeting was in dean's office, Physicians & Surgeons Building, room 2-401. Lisa Hogarty escorted Salmen Loksen to a conference room in the dean's suite. Present was Sony Jean-Michel from Human Resources. Lisa Hogarty sat down and looked at some papers and said that the University had decided to reorganize. Radiation Safety would now be under David Hirsch and Kathleen A. Crowley, and it was decided Salmen Loksen's position no longer necessary and is being eliminated.

A few minutes after Lisa Hogarty left the waiting area with Salmen Loksen, she returned to the waiting room for Moshe Friedman and escorted him to a small office where Maritza Gutierrez from HR was sitting at a table, Moshe Friedman was told his position was being eliminated.

Both Salmen Loksen and Moshe Friedman were escorted by the HR representatives, and accompanying security, Jim Verdiccio, Assist. Director of Dept. of Public Safety.

Both Salmen Loksen and Moshe Friedman were in Lisa Hogarty's Dean's suite about 20-30 minutes. Another about 10-15 minutes at HR. They were escorted back at the Radiation Safety Office suite at about 12:30 p.m. They discovered that all of the door locks in the suite had already been changed. All of the Radiation Safety Office staff had been told to gather for a previously unannounced meeting in the conference room (forcing another group, which had previously reserved the room, to wait out in the hallway).

Mr. Loksen and Mr. Friedman were allowed a few minutes to begin to gather just a few of their personal items that they had stored in their respective rooms, and to gave back items like keys, badges, etc. After that they were rushed out of the premises, all the time escorted by security.

Mr. Friedman and Mr. Loksen were told to be available until the termination date to come to the office to assist with the transition, and to start retrieving their personal items from the office. Mr. Friedman was told by the Human Resources representatives that he would be assisted to retrieve his personal computer data files from his assigned office computer.

Over the next few days Mr. Friedman and Mr. Loksen discovered that they had been locked out of their email accounts. The promises of the HR representatives that he would be allowed to return to the office to assist with the transition, to retrieve his personal possessions and his computer data files, and to say goodbye to his coworkers – were all reneged on.

All of these actions by the employer that extended over the entire period of January 7 through February 1, 2010 were discriminatory in nature, and in violation of numerous Regulatory

Requirements and Columbia's own policies and procedures. There are clear facts to show that the proper channels were not followed in any of the aspects of this layoff action.

<u>Intake Questionnaire – Item 6.</u> Why do you believe these actions were discriminatory?

Religious Discrimination Claims:

- After the "reorganization" a high level source stated that a reason for the shakeout was that management perceived that "there are too many yarmulkas in the Radiation Safety Office."
- There are three individuals affected by the "reorganization" Moshe Friedman, Salmen Loksen, and Bruce Emmer (whose CU ID Badge was immediately confiscated, and who was subsequently terminated). These three individuals are clearly identifiable as orthodox Jews with yarmulkas.
- In addition to wearing yarmulkas, are Chassidic, who have gray beards and wear Tzitzis.

Age and Gender Discrimination Claims:

- Moshe Friedman, at 57, was the oldest administrative/secretarial employee with a gray beard. The next oldest administrative/ secretarial employee is 32 years old.
- Moshe Friedman was also the only male among all the administrative/secretarial staff. The person who replaced him was a female, age 32.
- The two other individuals who were affected, Salmen Loksen, 61, and Bruce Emmer, 65, were the two oldest employees in Radiation Safety.

<u>Intake Questionnaire – Item 7.</u> What reason(s) were given to you for the acts you consider discriminatory? By whom? His or Her Job Title?

The reason for the layoff action was for "restructure and consolidation of business operations and functions to create greater efficiency, budgetary constraints and changes in the scope of the department." (It turns out however, as will be explained, that budgetary constraints played no part in this, and in fact it is virtually certain that the reorganization will lead to much greater expenses, not less.) In other words, it was stated that the Radiation Safety Office was being incorporated into the Office of Environmental Health & Safety (EH&S), which would assume all administrative functions, and consequently there was no longer a need for a Director and Office Administrator for the Radiation Safety Office.

The stated reason for Bruce Emmer's termination, in a FedEx letter to him that he received on Feb. 18, 2010, was that as part of the restructuring it was determined that his position was no longer necessary.

The stated reason for the layoff of Salmen Loksen and Moshe Friedman was due to "budgetary constraints." This explanation is not plausible, especially with the ever increasing number of clinical and research projects involving radiation at the Medical Center. To this extent, at the Institutional Health and Safety Committee Meeting in December, 2009, Dr. Hirsch stated that safety concerns and adherence to regulatory requirements outweighed any money or budgetary concerns in relation to the RSO. Dr. Hirsh elaborated that a reduction in funding could result in regulatory infractions and that the cost of fines for any violations could obliterate any potential budgetary savings. Dr. Hirsh and Columbia University as a whole certainly remember the nearly one million dollar fine, negative publicity, legal costs, etc., suffered by Columbia as a consequence of trying to cut costs at the Morningside Campus Environmental Health and Safety Office in the early 2000's. In recent front page news in the NY Times of January 16, 2010, CUMC found to be in violation of FDA regulations. The resulting elimination of research opportunities and negative publicity is substantially more costly that budgeting for quality control and regulatory assurance personnel.

<u>Intake Questionnaire – Item 8.</u> Describe who was in the same or similar situation as you and how they were treated.

Of the persons in the same or similar situation as you, who was treated better than you?

- 1. Everyone else (except for Salmen Loksen and Bruce Emmer) in the CUMC Radiation Safety Office.
- 2. For example, Jillian Sachelli, an Administrative Assistant who I supervised, female, 32 years old, was raised to an Officer position, basically she was given my previous job.
- 3. In the "decisional unit" for the "reorganization" only employees employed by Radiation Safety in the Medical Center Campus at Columbia University were considered for job elimination. No one from the Radiation Safety Office at Morningside was considered.
- 4. No one from the Environmental Health and Safety Office at either CUMC or at the Morningside Campus was considered for job elimination. The purported "reorganization" was to combine the EH&S with Radiation Safety at CUMC, but the decisional unit was limited to the staff of the Radiation Safety Office at CUMC.

Of the persons in the same or similar situation as you, who was treated the same as you?

Regarding Salmen Loksen and Bruce Emmer please see above.



<u>Intake Questionnaire – Item 13</u>. Are there any witnesses to the alleged discriminatory incidents? If yes, please identify them below and tell us what they will say.

Full Name; Job Title; Address & Phone No.; What do you believe this person will tell us?

Dr. Lee Goldman - Dean of the Columbia University Medical Center

Dr. Robert Kass - Member of the Joint Radiation Safety Committee

Dr. Chang Shi Wuu - Member of the Joint Radiation Safety Committee

Dr. Peter Esser - Member of the Joint Radiation Safety Committee

Dr. Edward Nickaloff - Member of the Joint Radiation Safety Committee

Dr. Edward Christman - Member of the Joint Radiation Safety Committee

Dr. Eric Hall - Member of the Joint Radiation Safety Committee

Dr. Steve Balter - Member of the Joint Radiation Safety Committee

Dr. Robert Kelly - NY Presbyterian Hospital - Member of the Joint Radiation Safety Committee

Dr. John Mann - NYS Psychiatric Institute - Member of the Joint Radiation Safety Committee

Thomas Juchnewicz - was Acting Assist. Director, CUMC Radiation Safety Office

Salmen Loksen - was Director, CUMC Radiation Safety Office

Bruce Emmer - was Physicist, CUMC Radiation Safety Office

All of these people will confirm:

- 1. Outstanding performance of the CUMC Radiation Safety Office under Salmen Loksen's direction with Moshe Friedman as Office Administrator.
- 2. The process utilized during the "reorganization" and associated layoff action was highly irregular and in violation of regulatory requirements and Columbia University policy.
- 3. That Moshe Friedman, Salmen Loksen and Bruce Emmer are openly religious Chassidic Jews with beards and yarmulkas.

Supplemental Information and Relevant Background

All personnel in the Radiation Safety Office are Columbia University employees. The Radiation Safety Office facilities are located at the Columbia University Medical Center, at the Washington Heights campus (168th Street). The Radiation Safety Office administers a number of Licenses, Registrations and Permits, providing radiation safety services for the human use and non-human use of radiation at Columbia University Medical Center, New York Presbyterian Hospital, and New York State Psychiatric Institute, which share interconnected facilities and personnel, and which by agreement share in the oversight of the Radiation Safety Office, through the Joint Radiation Safety Committee of CUMC/NYPH/NYSPI, as well expenses for its personnel salaries and operational costs. The Radiation Safety Office also provides radiation safety services to a number of off campus locations.

Columbia University has another Radiation Safety Office on the Columbia University Morningside campus (116th Street), much smaller in size and scope, with just two employees,

and restricted to non-human research use of radiation, with no oversight of medical use of radiation on human patients and research subjects.

For many years the Regulatory Agencies, NYC DOH and NYS Department of Environmental Conservation have been regularly sending written recommendations (even warnings) to CUMC about the need to retain adequate staffing levels in the Radiation Safety Office.

In the "restructuring," contrary to regulatory requirements, all of these substantial changes were implemented without the review and vote of approval by the CUMC/NYPH/NYSPI Joint Radiation Safety Committee. Additionally, all of these substantial changes were implemented without submission to NYC DOHMH and receipt of proper License Amendments.

If it was a genuine reorganization and not a sham targeting specific employees for elimination due to their age, religion, etc., then the employees from Environmental Health and Safety should have been considered for position elimination. It is apparent that this sham "reorganization" was used to target specific employees because of their age, religion and national origin.

Details of 2010 "Reorganization":

In the reorganization the administrative oversight for the Radiation Safety Office was transferred from Dr. Robert Kass, Columbia University's Management Representative to the Radiation Safety Committee and the administrator designated by the Dean for oversight of the Radiation Safety Office, to Dr. David Hirsh, Exec. VP for Research, via Kathleen Crowley, Assoc. VP of Environmental Health & Safety. George Hamawy, the Radiation Safety Officer for the Morningside, Nevis and Lamont campuses, and Barnard College, is meanwhile also serving as interim Radiation Safety Officer for CUMC, NYPH and NYSPI.

Moshe Friedman – Office Administrator

The Radiation Safety Office's part-time officer level Administrative Assistant position was expanded into a full-time Office Administrator position, filled by Moshe Friedman, in 2006, who transferred from another position in the Columbia University Medical Center.

The Office Administrator position includes numerous duties and responsibilities. His experience working in Radiation Safety and in the Center for Radiological Research make his contributions in many areas, including generating technical documents, invaluable. There is no apparent reason for his layoff except for his religious identification and age. It is virtually certain that if he were of any other religious/ethnic identity and younger he would not have been laid off.

Salmen Loksen - Director & Radiation Safety Officer

Notably, during the tenure of Salmen Loksen as Director and Radiation Safety Officer, CUMC, NYPH and NYSPI have had a very enviable record of compliance with regulatory requirements, consistently earning comments from Inspectors such as "Grade of A," "a model for the whole city," and in the last inspection in December 2009, "perfect." This is an especially significant achievement considering the large size and scope of the activities at CUMC/NYPH/NYSPI. In

these past years, under the directorship of Salmen Loksen, the Radiation Safety Office has effectively renegotiated a number of vender services, minimizing costs to the institutions, and holding down budgetary increases. There is a saying, "if it isn't broke, don't fix it," and in this case, it wasn't broke, it was working "perfectly," so why would CU administration intentionally take an axe and break it?

Further, in selecting which employee should stay on as the Radiation Safety Officer of the combined CUMC and Morningside Campus Radiation Safety Office, Mr. Loksen unquestionably has superior credentials to George Hamawy, who was given expanded responsibilities on an interim basis while a national search was conducted for someone with credentials similar to Salmen Loksen. As of this date, November 2010, Mr. Hamawy is still the interim Radiation Safety Officer.

Mr. Loksen is certified by American Board of Radiology in Therapeutic Radiologic Physics and is a certified Health Physicist (CHP). In addition, Mr. Loksen is Licensed by New York State in Medical Health Physics, Medical Nuclear Physics, Diagnostic Radiological Physics, and Therapeutic Radiological Physics. Mr. Loksen, in addition to his being listed as the RSO for all CUMC/NYPH/NYSPI licenses and permits, is also listed as the Therapy Physicist on the Broad Scope Human Use License, Gamma Knife License, and the Linac Certified Registrations. In addition Mr. Loksen is listed as the RSO and Therapy Physicist at the Radiation Oncology facility at East 60th Street.

When comparing the two general campuses, Morningside and the Medical Center, the Medical Center's use of radiation and radioactive materials is much more complex in size and scope of operations, due primarily to the medical and research human use of radiation, none of which is performed at the academic campus. This distinction is so clear, that for development and advice regarding the new Manhattanville project, which will involve human use of radiation in the Morningside Campus area, the developers turned to Mr. Loksen at the CUMC campus, and not to Mr. Hamawy on the Morningside Campus.

In addition, as stated above, Mr. Loksen has in his 13 plus years as Director of the CUMC/NYPH/NYSPI Radiation Safety Office an enviable record of ensuring regulatory compliance and receiving continuous allocates from regulatory agencies, including NYS DEC and NYC DOHMH. Mr. Loksen has excellent rapport with all the departments, including with the Medical Physicists at CUMC/NYPH/NYSPI. Mr. Loksen also has a fine continuing relationship with CU's academic Medical Physics program, including providing lectures and practicums to Medical Physics graduate students — for which he never received any additional compensation. It may reasonably be expected that there will be a number of negative side effects to this the hasty reorganization that will negatively impact research, patients, and the surrounding community.

Bruce Emmer - Physicist

With regard to Bruce Emmer, there appears to be no reason for his termination other than it being due to religious and age discrimination. Some relevant details about his situation:

Bruce Emmer was terminated despite the fact that he was a <u>permanent</u> employee for many years. Other part time employees, who do not have yarmulkas and beards, were not selected for termination. Bruce Emmer is a highly qualified medical physicist, Board Certified by the American Board of Radiology and the American Board of Medical Physics in Diagnostic Medical Physics. In addition Mr. Emmer is licensed by New York State in Medical Health Physics, Medical Nuclear Physics, Diagnostic Radiological Physics, and Therapeutic Radiological Physics. His Board Certification in Diagnostic Medical Physics was required for his responsibilities overseeing the medical center's X-ray Quality Assurance program.

Reorganization attempt in 2004

There was a previous attempt to do this same type of "Reorganization" in 2004. This was done by Columbia without consideration and vote of the Joint Radiation Safety Committee and a request to do so being submitted to NYC Department of Health as required by regulatory requirements. At that time the NYC Department of Health issued a Violation against Columbia for its unauthorized action, and Dr. Fischbach, head of CUMC (since replaced by Dr. Goldman) send a letter of retraction to the City. A copy of Dr. Fischbach's letter is attached. In the present January 2010 reorganization there again was no consideration and vote of the Joint Radiation Safety Committee and License Amendment request to do this submitted to NYC Department of Health, and the no License Amendment has been issued.

In addition, for many years the Regulatory Agencies, NYC DOH and NYS Department of Environmental Conservation have been regularly sending written recommendations (even warnings) to CUMC about the need to retain adequate staffing levels in the Radiation Safety Office. In this action, with professional medical physics and radiation safety experts Salmen Loksen and Bruce Emmer being laid off, it is almost certain that there will be a gap in the RSO's ability to maintain its responsibilities. In 2005, the expansion of the then part-time officer level Administrative Assistant position into a full-time Office Administrator position, later filled by Moshe Friedman, was also discussed with the regulatory agencies.

In the January 2010 reorganization, it is unclear why anyone should have been laid off. Back in 2004, when CUMC first tried to accomplish a reorganization to unite EH&S and the RSO, it was done without terminating any positions. It seems almost certain that the immediate layoff of three critical employees will have a negative impact on the ability of the RSO to fulfill all its responsibilities and provide the best possible radiation safety services, and almost inevitably will result in problems, especially as there are many expanding responsibilities in recent years and that are foreseen for the future addition of various new projects. Indeed, at the present time there is a pressing need for additional staff, certainly not for less staff, and this has been continuously been recommended by State and City regulatory agencies, and committed to by CUMC management.

In the present restructuring, contrary to regulatory requirements, all of these substantial changes were implemented without the review and vote of approval by the CUMC/NYPH/NYSPI Joint Radiation Safety Committee. Additionally, all of these substantial changes were implemented without submission to NYC DOHMH and receipt of proper License Amendments.

Racial and religious discrimination investigation of Mr. Loksen and Mr. Friedman, 2009

In 2009 there had been another examination of Radiation Safety Office staffing and hiring practices, conducted by the CU Office of Equal Opportunity and Affirmative Action. This had been prompted by a complaint by a casual employee of Asian extraction that she was not hired for a permanent position in the RSO because of discrimination at the hands of Salmen Loksen, the RSO Director, due to his Orthodox Jewish affiliation, an affiliation shared by Moshe Friedman, Office Administrator, and other RSO staff.

In a report issued at the end of December 2009, it was noted that there was no basis for the complaint. There had no bias in the RSO hiring decisions, and the best candidate was selected regardless of race or religion.

Both Mr. Loksen and Mr. Friedman feel that they are themselves easy targets for discrimination complaints and they are at times discriminated against due to their obvious minority group status. Both wear yarmulkas and have beards. In the present termination of Mr. Loksen and Mr. Friedman, and the suspension and subsequent termination of Bruce Emmer, notably all three are members of one minority ethnic group, easily identified by their yarmulkas and beards.